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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WARREN E. GUTHRIE et al.

Group Art Unit: 2618

Examiner: Tran, Tuan A.

Serial No.: 10/630,058

Filed: July 30, 2003

For: UNIVERSAL VEHICLE BASED GARAGE DOOR OPENER CONTROL  
SYSTEM AND METHOD

Attorney Docket No.: LEAR 04013 PUS (04013)

INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER 37 C.F.R. § 1.97(d) AND (e)(2)

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Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(d), i.e., either after the mailing date of a final rejection, or a notice of allowance, but before payment of the issue fee.

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

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I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop AF, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 22, 2007  
Date of Deposit

James N. Kallis  
Name of Person Signing

[Signature]  
Signature

No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement.

The fee of \$180 pursuant to the provisions of 37 C.F.R. § 1.17(p) is enclosed. Please charge any additional fee or credit any overpayment in connection with this filing to our Deposit Account No. 02-3978.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. An English translation of the cited reference FR 2 792 444 has also been provided. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,  
WARREN E. GUTHRIE et al.

By: \_\_\_\_\_

James N. Kallis  
Reg. No. 41,102  
Attorney for Applicant

Date: January 22, 2007

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Substitute for Form 1449A/PTO

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of

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**Complete if Known**

Application Number	11/630,058
Filing Date	July 30, 2006
First Named Inventor	Warren E. Guthrie et al.
Group Art Unit	2618
Examiner Name	Tran, Tuan A.
Attorney Docket Number	LEAR 04013 PUS

**U.S. PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,866,434		Keenan	09-12-1989	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
		GB	2 325 552		Krouse	11-25-1998		
		WO	99/63308	A	Dykema et al.	09-29-1999		
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		EP	1 129 441	B1	Bruwer	09-05-2001		

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 4

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		Number	Kind Code <sup>2</sup> (if known)			
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
			WO 2004/034352		Blaker et al.	04-22-2004		
			WO 2004/036526		Bambini et al.	04-29-2004		
			WO 2004/066514		Michmerhuizen et	08-05-2004		
			WO 2005/002080		Blaker et al.	01-06-2005		

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				Application Number	11/630,058
				Filing Date	July 30, 2006
				First Named Inventor	Warren E. Guthrie et al.
				Group Art Unit	2618
				Examiner Name	Tran, Tuan A.
Sheet	3	of	4	Attorney Docket Number	LEAR 04013 PUS

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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				Application Number	11/630,058
				Filing Date	July 30, 2006
				First Named Inventor	Warren E. Guthrie et al.
				Group Art Unit	2618
				Examiner Name	Tran, Tuan A.
Sheet	4	of	4	Attorney Docket Number	LEAR 04013 PUS
<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		Marantec America Accessories Listing, September 30, 2002, 3 pages.			
		Marantec America Products Listing, September 30, 2002, 3 pages			
		Marantec America Openers With a Difference Listing, September 30, 2002, 2 pages.			
		"Marantec Expands Its Line of Radio Controls by Introducing the HomeLink compatible 315MHz Modular Frequency System," Marantec America News, September 30, 2002, 3 pages.			
		Photo Modules for PCM Remote Control Systems, Vishay, TSPO22, Document Number 82095, Rev. 4, March 30, 2001, 7 pages.			
		IP Receiver for High Data Rate PCM at 455 kHz, Vishay TSPO7000, Document Number 82147, Rev. 4, March 29, 2001, 7 pages.			

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